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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/025.601 TRANSMITTAL December 18, 2001 Filing Date **FORM** Anthony M. Chiu First Named Inventor Group Art Unit 2872 (to be used for all correspondence after initial filing) To Be Assigned **Examiner Name** STPP-25.892 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm HOWISON, THOMA & ARNOTT, L.L.P. Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Peter J. Thoma Typed or printed name

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Date

Signature

ST 25,892

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ON MAIL ROOM

In re application of: Anthony M. Chiu and Danielle A. Thomas

Serial No .:

10/025,601

Filed:

December 18, 2001

Group:

2872

Examiner:

To Be Assigned

For:

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Washington, D.C. 20231

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(Date of Deposit) Peter J. Thoma

(Signature)

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO SB/08 listing information for consideration by the Office in connection with its examination of the above-captioned patent application.

Applicants request that this information disclosure statement be considered and that a copy of the Form PTO SB/08 be returned to the undersigned indicating the consideration of each document listed.

REMARKS

Applicants submit that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO SB/08 is not intended to constitute an admission of any kind. Applicants respectfully submit that their invention is patentable over the enclosed documents listed on Form PTO SB/08.

Malissin et al. (4,570,249), Mohri et al. (5,241,528), and Kim et al. (5,323,378) disclose optical devices for use with optical disks. Hohenegger et al. (6,275,332), and Krisko et al. (6,292,302) disclose mirror structures and methods for their manufacture.

To Applicant's knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed necessary for its consideration. Please charge any necessary fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/STPP-25,892 of HOWISON, THOMA & ARNOTT, L.L.P.

Respectfully submitted,

HOWISON, THOMA & ARNOTT, L.L.P.

Attorneys for Applicants

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PJT/keb

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(use as many sheets as necessary)

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| Complete if Known | | | | |
|------------------------|-------------------|--|--|--|
| Application Number | 10/025,601 | | | |
| Filing Date | December 18, 2001 | | | |
| First Named Inventor | Anthony M. Chiu | | | |
| Group Art Unit | 2872 | | | |
| Examiner Name | To Be Assigned | | | |
| Attorney Docket Number | STDD-25 802 | | | |

| | U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------------|-----------------------|---|--|-------------------------------|--|--|--|
| Examiner Cite nitials' No.1 | | U.S. Patent Document Kind Code ² (if known) | | Name of Patentee or Applicant | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | | 4,570,249 | | Malissin et al. | 02-11-1986 | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | |
|-----------------------|--------------------------|--|---------------------|------------|-----------------------------|--|--|----------------|
| Examiner Initials* | | Foreign Patent Document Kind Code ⁵ | | | Name of Patentee or | Date of Publication of Cited Document | where Relevant | |
| | | Office ³ | Number ⁴ | (if known) | Applicant of Cited Document | MM-DD-YYYY | Passages or Relevant Figures Appear | Τ ⁶ |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.